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## Press Release

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September 16, 2013

### LAUNCH OF CAPITAL MARKET INVESTIGATION UNIT

The FSC officially launched a 'Capital Market Investigation Unit' on September 17, 2013 in a bid to address unfair financial transaction practices as announced in the 'Comprehensive Measures to Eradicate Unfair Transaction' dated April 18, 2013.

The Capital Market Investigation Unit is an organization dedicated to monitoring and investigating unfair trade practices including stock price manipulation. The investigation unit is established under direct control of the FSC Secretary General and staffed with 18 experts from the FSC, the Ministry of Justice, the Financial Supervisory Service(FSS) and the Korea Exchange(KRX).

The unit will be notified of all information related to unfair transactions from capital market oversight authorities including the KRX. The reported cases will be classified into urgent and non-urgent categories\* according to the necessity of forced investigation and potential social impact which will then be assigned to respective authorities. The Capital Market Investigation Unit will serve as a control tower directing and monitoring all procedures throughout the investigation from recognition of case to prosecution phases.

The Capital Market Investigation Unit will be tasked with investigating and monitoring unfair trade practices such as stock price manipulation while drafting policies on unfair transactions will remain as the FSC Capital Markets Bureau's function.

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\* **Case of urgency/significance:** case requiring forced investigation and termination of prosecution prescription or swift punishment. Such cases will be reported to the prosecution on a fast track basis.

**Case of significance:** cases requiring forced investigation based on search and seizure which will be investigated by the FSC alone or with the FSS when necessary.

**Case of non-urgency:** Other general cases will be assigned to the FSS for investigation.